



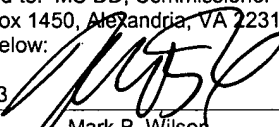
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November 26, 2003

<p>CERTIFICATE OF MAILING 37 C.F.R. 1.8</p> <p>I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: MS DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date below:</p> <p>November 26, 2003</p> <p>Date  Mark B. Wilson</p>
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MS DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RE: *U.S. Patent Application No. 10/667,534 entitled "METHOD FOR THE DEVELOPMENT OF AN HIV VACCINE" – Adan Rios*  
*Our reference: RIOS:004USC2*


Sir:

Enclosed for filing in the above-referenced patent application is an Information Disclosure Statement and Form PTO-1449.

No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to the enclosed materials, the Commissioner is authorized to deduct the appropriate fees from Fulbright & Jaworski Deposit Account No.: 50-1212/RIOS:004USC2.

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Respectfully submitted,

  
Mark B. Wilson  
Reg. No. 37,259

MBW/cas  
Encl.: As noted

25356379.1



**PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Adan Rios

Serial No.: 10/667,534

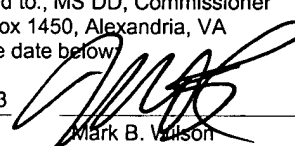
Filed: September 22, 2003

For: METHOD FOR THE DEVELOPMENT OF  
AN HIV VACCINE

Group Art Unit: Unknown

Examiner: Unknown

Atty. Dkt. No.: RIOS:004USC2

<p style="text-align: center;">CERTIFICATE OF MAILING 37 C.F.R. 1.8</p> <p>I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: MS DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below</p> <p>November 26, 2003 Date</p> <p> Mark B. Wilson</p>
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**INFORMATION DISCLOSURE STATEMENT**

**MS DD**

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record.

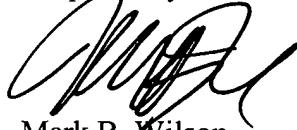
In accordance with 37 C.F.R §§ 1.97(g), (h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is authorized to deduct the appropriate fees from Fulbright & Jaworski Deposit Account No.: 50-1212/RIOS:004USC2.

This application is a divisional application of Serial No. 09/638,833, filed August 14, 2000 and is relied upon for an earlier filing date under 35 U.S.C. § 120. In accordance with Rule 37 C.F.R. § 1.98(d) copies of the listed documents are not enclosed as they have been previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. 09/638,833.

Applicant respectfully requests that the listed documents be made of record in the present case.

Respectfully submitted,



Mark B. Wilson  
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Attorney for Applicant

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Date: November 26, 2003

Form PTO-1449 (modified)

Atty. Docket No.

Serial No.

RIOS:004USC2

10/667,534

List of Patents and Publications for Applicant's

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Unknown

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U.S. Patent Documents

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## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1	5,849,475	12/15/98	Rovinski <i>et al.</i>	435	5	5/30/95
	A2	5,919,458	7/6/99	Aldovini <i>et al.</i>	424	188.1	6/7/95
	A3	6,017,543	1/25/00	Salk <i>et al.</i>	424	208.1	6/5/95
	A4	6,080,408	6/27/00	Rovinski <i>et al.</i>	424	188.1	6/7/95

## Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
✓	C1	"Aronex HIV inhibitor nears patent issuance," <i>Reuters NewMedia, Inc.</i> , February 23, 1996, Abstract.
✓	C2	"Aronex reports preliminary clinical results on its HIV integrase inhibitor, zintevir (TM)," <i>PR Newswire</i> , November, 12, 1996, Abstract.
✓	C3	Ada, "An Immunologist's View of HIV Infection," <i>Textbook of AIDS Medicine</i> , Chapter 6, pp. 77-87, Brothier <i>et al.</i> , eds., Williams & Wilkins, Baltimore, MD, 1994.
✓	C4	Ada, "Modern vaccines, the immunological principles of vaccination," <i>The Lancet</i> , 335:523-526, 1990.
✓	C5	Albert, <i>et al.</i> , "Dendritic cells acquire antigen from apoptotic cells and induce class I-restricted CTLs," <i>Nature</i> , 392:86-89, 1998.
✓	C6	Aldrovandi <i>et al.</i> , "The SCID-hu mouse as a model for HIV-1 infection," <i>Nature</i> , 363:732-736, 1993.
✓	C7	Amadori <i>et al.</i> , "The hu-PBL-SCID mouse in human lymphocyte function and lymphomagenesis studies: achievements and caveats," <i>Semin Immunol</i> , 8:249-254, 1996.

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*	C8	Baba <i>et al.</i> , "Pathogenicity of live, attenuated hiv after mucosal infection of neonatal macaques," <i>Science</i> , 267:1820-1825, 1995.
*	C9	Bachmann and Zinkernagel, "Neutralizing antiviral b cell responses," <i>Annu. Rev. Immunol.</i> , 15:235-270, 1997.
*	C10	Balter, "A cluster of Europe's aids research stars," <i>Science</i> , 280:1862, 1998c.
*	C11	Balter, "Duo brings hope of immune restoration," <i>Science</i> , 280:1861, 1998b.
*	C12	Balter, "Global program struggles to stem the flood of new cases," <i>Science</i> , 280:1863-1864, 1998d.
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*	C14	Balter, "Modest briton stirs up storm with views on role of CTLs," <i>Science</i> , 280:1860-1861, 1998a.
*	C15	Balter, "T cell production slowed, not exhausted?" <i>Science</i> , 283(5400):305-306, 1999.
*	C16	Baltimore, "Lessons from people with nonprogressive hiv infection," <i>The New England J. of Medi.</i> , 332:259-260, 1995.
*	C17	Banchereau and Steinman, "Dendritic cells and the control of immunity," <i>Nature</i> , 392:245-252, 1998.
*	C18	Barnard <i>et al.</i> , "The thiocarboxanilide nonnucleoside uc781 is a tight-binding inhibitor of hiv-1 reverse transcriptase," <i>Biochem.</i> , 36:7786-7792, 1997.
*	C19	Barr, "Vaccines for HIV, HIV therapeutic vaccines: the next phase," <i>GMHC's Treat. Iss.</i> , Vol. 7, No. 5, 1993.
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*	C21	Berger <i>et al.</i> , "A new classification of HIV-1," <i>Nature</i> , 391:340, 1998.
*	C22	Blauvelt <i>et al.</i> , "Productive infection of dendritic cells by hiv-1 and their ability to capture virus are mediated through separate pathways," <i>The J. of Clin. Invest.</i> , 100:2043-2053, 1997.
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Adan Rios

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✕	C24	Bombil <i>et al.</i> , "A promising model of primary human immunization in human-scid mouse," <i>Immunobiology</i> , 195:360-375, 1996 (Abstract).
✕	C25	Borkow <i>et al.</i> , "Chemical barriers to human immunodeficiency virus type 1 (hiv-1) infection: retrovirucidal activity of uc781, a thiocarboxanilide nonnucleoside inhibitor of hiv-1 reverse transcriptase," <i>J. of Virol.</i> , 71:3023-3030, 1997.
✕	C26	Borkow <i>et al.</i> , "Chemical barriers to Human Immunodeficiency Virus type 1 (HIV-1) infection: retrovirucidal activity of UC781, a thiocarboxanilide nonnucleoside inhibitor or HIV-1 reverse transcriptase," <i>Journal of Virology</i> 71(4):3023-3030, 1997.
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✕	C28	Bryson <i>et al.</i> , "Clearance of HIV infection in a perinatally infected infant," <i>The New Engl. J. of Med.</i> , 332:833-838, 1995.
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✕	C32	Burton and Montefiori, "The antibody response in HIV-1 infection," <i>Aids</i> , 11:S87-S98, 1997.
✕	C33	Cao <i>et al.</i> , "Virologic and immunologic characterization of long-term survivors of human immunodeficiency virus type 1 infection," <i>The New Engl. J. of Med.</i> , 332:201-216, 1995.
✕	C34	Carlson <i>et al.</i> , "Vaccine Protection of Rhesus Macaques Against Simian Immunodeficiency Virus Infection," <i>AIDS Res. and Hum. Retroviruses</i> , 6:1239-1246, 1990.
✕	C35	Cavert and Haase, "A national tissue bank to track HIV eradication and immune reconstitution," <i>Science</i> , 280:1865-1866, 1998.
✕	C36	Cella <i>et al.</i> , "Inflammatory stimuli induce accumulation of MHC class II complexes on dendritic cells," <i>Nature</i> , 388:782-792, 1997.

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✗	C39	Clotet <i>et al.</i> , "Long-term survivors of human immunodeficiency virus type I infection," <i>The New England Journal of Medicine</i> , 332(24):1646-1647, 1995.
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✗	C42	Colonna, "Unmasking the killer's accomplice," <i>Nature</i> , 391:642-644, 1998.
✗	C43	Constant and Bottomly, "Induction of TH1 and TH2 CD4 <sup>+</sup> T cell responses: the alternative approaches," <i>Annu. Rev. Immunol.</i> , 15:297-322, 1997.
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✗	C45	DeNoon, "AIDS Therapies: new integrase inhibitor enhances other anti-HIV drugs," <i>AIDSWEEKLY Plus</i> , November 23, 1998, Abstract.
✗	C46	Doherty and Zinkernagel, "A Biological role for the major histocompatibility antigens," <i>The Lancet</i> , 1406-1409, 1975.
✗	C47	Doherty and Zinkernagel, "The Specificity of the Cell Mediated Immune Defense," <i>The Nobel Assembly at the Karolinska Institute</i> , Press Release October 7, 1996.
✗	C48	Dragic <i>et al.</i> , "HIV co-receptors: gateways to the cell," <i>HIV Advances in Research and Therapy</i> , 7(3):2-12, 1997.
✗	C49	D'Souza <i>et al.</i> , "Neutralization of primary HIV-1 isolates by anti-envelope monoclonal antibodies," <i>AIDS</i> , 9:867-874, 1995.
✗	C50	Dutton <i>et al.</i> , "T cell memory," <i>Annu. Rev. Immunol.</i> , 16:201-223, 1998.
✗	C51	Emerman and Malim, "HIV-1 regulatory/accessory genes: keys to unraveling viral and host cell biology," <i>Science</i> , 280:1880-1884, 1998.

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x	C52	Excler and Plotkin, "The prime-boost concept applied to HIV preventive vaccines," <i>Aids</i> , 11:S127-S137, 1997.
1	C53	Ferrari, "Clade B-based HIV-1 vaccines elicit cross-clade cytotoxic T lymphocyte reactivities in uninfected volunteers," <i>Proc. Natl. Acad. Sci. USA</i> , 94:1396-1401, 1997.
5	C54	Fletcher <i>et al.</i> , "Carboxanilide derivative non-nucleoside inhibitors of hiv-1 reverse transcriptase interact with different mechanistic forms of the enzyme," <i>Biochem.</i> , 34:4346-4353, 1995.
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	C56	Frankel and Young, "HIV-1: fifteen proteins and an RNA," <i>Annu. Rev. Biochem.</i> , 67:1-25, 1998.
	C57	Gibbons <i>et al.</i> , "Thy/Liv-SCID-Hu mice implanted with human intestine: an <i>in vivo</i> model for investigation of mucosal transmission of HIV," <i>Aids Res. and Hum. Retrovir.</i> , 13:1453-1460, 1997.
	C58	Glenn <i>et al.</i> , "Skin immunization made possible by cholera toxin," <i>Nature</i> , 391:851, 1998.
	C59	Gotch <i>et al.</i> , "New observations on cellular immune responses to HIV and T-cell epitopes," <i>Aids</i> , 11:S99-S107, 1997.
	C60	Graham and Wright, "Drug therapy, candidate aids vaccines," <i>Drug Ther.</i> , 333:1331-1339, 1995.
	C61	Guidotti, <i>et al.</i> , "Viral clearance without destruction of infected cells during acute HBV infection," <i>Science</i> , 284:825-829, 1999.
	C62	Hahn, "Viral Genes and Their Products," <i>Textbook of AIDS Medicine</i> , Chapter 3, pp. 21-43, Brothier <i>et al.</i> , eds., Williams & Wilkins, Baltimore, MD, 1994.
	C63	Hargrave <i>et al.</i> , "Novel non-nucleoside inhibitors of hiv-1 reverse transcriptase, 1. tricyclic pyridobenzo- and dipyrindiazepinones," <i>J. of Med. Chem.</i> , 34:2231-2241, 1991.
	C64	Harouse, <i>et al.</i> , "Distinct pathogenic sequela in rhesus macaques infected with CCR5 or CXCR4 utilizing SHIVs," <i>Science</i> , Internet: www.sciencemag.org, 1999.
	C65	Ho, "Toward HIV eradication or remission: the tasks ahead," <i>Science</i> , 280:1866-1867, 1998.

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	C67	Huang <i>et al.</i> , "Effect of mutations in the nucleocapsid protein (NCP7) upon PR160(GAG-POL) and trna (lys) incorporation into human immunodeficiency virus type 1," <i>J. of Virology</i> , 71(6):4378-4384, 1997, Abstract.
	C68	Huston, "The biology of the immune system," <i>JAMA</i> , 278:1804-1813, 1997.
	C69	Jawetz eds., <i>et al.</i> , "Serologic diagnosis & immunologic detection of virus infections," <i>Lange Medical Book</i> , 17th ed., Appleton & Lange, Norwalk, CT, pp. 371-380, 1987.
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	C72	Kim <i>et al.</i> , "Limitation of Hu-PBL-scld mouse model in direct application to immunotoxicity assessment," <i>J. Pharmacol Toxicol Methods</i> , 37:83-89, 1997 (Abstract).
	C73	Knight and Patterson, "Bone marrow-derived dendritic cells, infection with human immunodeficiency virus, and immunopathology," <i>Annu. Rev. Immunol.</i> , 15:593-615, 1997.
	C74	Kollmann <i>et al.</i> , "Disseminated human immunodeficiency virus 1 (hiv-1) infection in scid-hu mice after peripheral inoculation with hiv-1," <i>J. Exp. Med.</i> , 179:513-522, 1994.
	C75	Korber, <i>et al.</i> , "Limitations of a molecular clock applied to considerations of the origin of HIV-1," <i>Science</i> , 280:1868-1871, 1998.
	C76	LaCasse <i>et al.</i> , "Fusion-competent vaccines: broad neutralization of primary isolates of HIV," <i>Science</i> , 283:357-362, 1999.
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	C78	Lanzavecchia, "Licence to kill," <i>Nature</i> , 393:413-414, 1998.
	C79	Letvin, "Progress in the development of an HIV-1 vaccine," <i>Science</i> , 280:1875-1880, 1998.
	C80	Letvin, "Vaccines against human immunodeficiency virus -- progress and prospects," <i>N.E. J. of Med.</i> , 329:1400-1405, 1993.

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List of Patents and Publications for Applicant's

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